

No of Reviews	Planning/ToR issued	Fieldwork	Report under Review	Draft Report	Final Report (Audit Complete)
65	19 (7/12)	20	2	13	11
100%	29%	31%	3%	20%	17%

## Progress against the plan – Detail

					Recommendations Made				Recommendations Agreed			
No	Department	Main Audit Review	Status	Assurance	R	A	G	Total	R	A	G	Total
1	Corporate Wide	IR35 – Use of Consultants & Specialists	Fieldwork									
2	Corporate Wide	GDPR Readiness (Mazars)	Final Report	Red	2	0	5	7	2	0	5	7
3	Corporate Wide	Suppliers Financial Health/Resilience	Draft Report									
4	Corporate Wide	Commercial Manager Scorecard Procedures	Fieldwork									
5	Corporate Wide	Budget Estimate Preparation	Fieldwork									
6	Corporate Wide	Follow-up Exercise	On-Going									
	Corporate Wide	Change Control (Mazars)	Defer to 2019/20									
	Corporate Wide	Highways Repairs and Maintenance	Defer to 2019/20									
7	Corporate Wide	Programmed Repairs and Maintenance	Final Report	Amber	1	2	1	4	1	2	1	4
8	Corporate Wide	Operational Property Rent & Service Charges (Mazars)	Draft Report									





No	Department	Main Audit Review	Status	Assurance	Recommendations Made				Recommendations Agreed			
					R	A	G	Total	R	A	G	Total
44	Barbican Centre	Event Contracts	Fieldwork									
45	Barbican Centre	Financial Monitoring & Income Generation (Mazars)	ToR issued									
46	Barbican Centre	Fraud Risk Management	ToR issued									
47	Guildhall School	Student Support	Final Report	Amber	0	10	0	10	0	10	0	10
48	Guildhall School	Strategic Planning	Fieldwork									
	Guildhall School	Budget Setting and Financial Management	Defer to 2019/20									
49	Guildhall School	Accommodation Strategy	March start									
50	Guildhall School	Income Generation (Mazars)	ToR issued									
51	Guildhall School	Student programme	Planning									
52	CLS	Health and Safety	ToR issued									
53	CLS	Cyber Security	Report under review									
54	CLSG	Cyber Security	Fieldwork									
55	CLSG	Budget Setting and Internal Controls	Fieldwork									
56	CLFS	Cyber Security	Final Report	Red	3	11	0	14	3	11	0	14
57	DCCS	Adult Education Skills Service Contract Arrangements	Draft Report									
58	Built Environment	CIL & Section 106 Funding (Mazars)	Draft Report									
59	City Police	Key Financial Controls (Mazars)	Final Report	Red	3	10	0	13	3	10	0	13
60	City Police	Key Financial Controls – additional testing (Mazars)	Fieldwork									
61	Corporate Wide	City Police Accommodation Programme	Draft report									
62	Mansion House	Catering and Hospitality Contract	Final Report	Amber	0	5	0	5	0	5	0	5
63	Open Spaces	Financial Management	Final Report	Amber	0	3	5	8	0	3	5	8
64	City Police	Police Accommodation 2	Fieldwork									
65	Academies	Assurance Framework	Planning									
<b>TOTAL</b>					<b>9</b>	<b>44</b>	<b>14</b>	<b>67</b>	<b>9</b>	<b>44</b>	<b>14</b>	<b>67</b>

## Performance Indicators

Performance Measures	Target	Actual
1 Completion of audit plan	95% of planned audits completed to draft report stage by end of plan review period (31 March 2019)	N/A
2 Timely production of draft report	Average time taken to issue draft reports within 28 days of end of fieldwork i.e. exit meeting date.	23 days
3 Timely response to draft report	Average time taken to obtain a full management response within 28 days of the draft report being issued.	19 days
4 Timely issue of final report	Average time taken to finalise the review within 7 working days on full response from management	5 days
5 Customer satisfaction	Through key question on post audit surveys – target 90%	N/A
6 Percentage (%) of audit section staff with relevant professional qualification	Target 75%	78%